

File 625:American Banker Publications 1981-2003/Aug 12

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File 626:Bond Buyer Full Text 1981-2003/Aug 12

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File 267:Finance & Banking Newsletters 2003/Aug 11

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?ds

Set	Items	Description
S1	1757	(ONLINE OR ON()LINE OR COMPUTER? OR AUTOMATE? OR ELECTRONI- C? OR DIGITAL? OR DIGITI?) (5N) (AUCTION? OR DUTCHAUTION? OR (- DUTCH OR REVERSE) ()AUCTION? OR BID OR BIDS OR BIDDING)
S2	24	(PRIORIT? OR HIERARCH?) ()RANK?
S3	155755	(REBATE? OR DISCOUNT? OR SPECIAL()OFFER? OR REDUCE? ? OR R- EDUCTION? ? OR MARKDOWN? OR DEDUCTION? OR DIFFERENCE OR SUBTR- ACT? OR MINUS)
S4	26	S3(5N) (WEATHER OR TEMPERATURE? OR ENVIRONMENT? OR EXTERNAL-) (5N) (CONDITION OR CONDITIONS OR EVENT OR EVENTS)
S5	1008	((PRICE OR PRICES OR COST OR COSTS) (3N) (WARRANTY OR WARRANT- TIES OR GUARANTEE?) OR UNDERSOLD OR UNDERSELL?)
S6	22029	ROUND OR ROUNDS OR TOURNAMENT OR TOURNAMENTS OR CONTEST OR CONTESTS
S7	10	(EXPIRATION? OR EXPIRE? ?) () (CONDITION? ? OR TERM OR TERMS)
S8	3	(HIGHEST(3N) BIDDER? ?) (5N) (REBATE? OR DISCOUNT? OR SPECIAL- ()OFFER? OR REDUC? OR MARKDOWN? OR DEDUCTION? OR DIFFERENCE OR SUBTRACT? OR MINUS)
S9	3924	(BUNDLE? OR BUNDLING? OR COMBIN? OR BULK? OR BATCH? OR LOT OR LOTS) (3N) (MERCHANDISE? OR ITEM OR ITEMS OR GOOD? ? OR PROD- UCT? ?)
S10	5	S9(5N) (MANY OR VARIET? OR VARIOUS OR MULTI OR PLURAL? OR M- ANY OR SEVERAL OR MULTIPL? OR NUMEROUS) (2N) (SELLER OR SELLERS OR VENDOR OR VENDORS)
S11	0	S1(S)S2
S12	0	S1(S)S4
S13	2	S1(S)S5
S14	2	RD (unique items)
S15	43	S1(S)S6
S16	43	S15 NOT S14
S17	36	S16 NOT PY>2001
S18	35	RD (unique items)
S19	0	S1(S)S7
S20	1	S1(S)S8
S21	1	S20 NOT (S14 OR S18)
S22	5	S1(S)S9
S23	5	S22 NOT (S14 OR S18 OR S21)
S24	5	RD (unique items)
S25	51	S1(S) (S2 OR S4 OR S5 OR S6 OR S7 OR S8 OR S9)
S26	8	S25 NOT (S14 OR S18 OR S21 OR S24)
S27	8	RD (unique items)
?		

14/3,K/1 (Item 1 from file: 625)
DIALOG(R)File 625:American Banker Publications
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0241367

Fla. Home Lender Alliance Joins Priceline As Partner in On-Line Bidding Venture

American Banker - September 3, 1999; Pg. 8; Vol. 164, No. 170
DOCUMENT TYPE: Journal LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 431

BYLINE:
By HALA HABAL

TEXT:
...receive a preferred lender status or additional loan volume from Priceline customers. Borrowers receive a **guaranteed** maximum closing **cost** quote and an **on - line** rate lock-in if their **bid** were accepted.
Mr. Ness said that borrowers who name "fantasy" loan terms would receive counseling...

14/3,K/2 (Item 1 from file: 626)
DIALOG(R)File 626:Bond Buyer Full Text
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0181013

Electronic Bidding Through Parity and MuniBid

The Bond Buyer - August 21, 1996; Pg. 27; Vol. 317, No. 29965
Word Count: 221

TEXT:
...bond issues, for single maturities, or for combinations of maturities.

* Software on the issuer's **computer** calculates all **bids** received. After viewing the results, the issuer awards the bonds to bidders based on the combination that gives the issuer the lowest overall true interest **cost**.

* MuniBid provides "**guaranteed** bids" from backup underwriters if the issuer does not receive bids for certain portions of...
?

18/3,K/1 (Item 1 from file: 625)
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0170545

Unisys Debt Climbs Past Par on Loral's \$862M Unit Merger
High Yield Report - March 27, 1995; Pg. 3; Vol. 5, No. 12
DOCUMENT TYPE: Newsletter LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 467

TEXT:

...862 million cash purchase tab,
initially went through a bidding series prior to Loral's **bid**. The defense
electronics company beat Raytheon Co. and Hughes Aircraft Co. in the
beauty
contest.

Unisys's debt enjoyed a multi-day rally last week after the deal
announcement. On...

18/3,K/2 (Item 2 from file: 625)
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0143701

*** Texas Stands by Processing Contract With Gtech, Despite Deluxe's Protest**
American Banker - February 29, 1994; Pg. 14; Vol. 159, No. 40
WORD COUNT: 763

BYLINE:

By DANIEL STRACHMAN

TEXT:

...and owned the marketplace - and they were wrong."
Standing Firm

Gtech came late into a **contest** in which Deluxe was widely seen as the
front-runner. Deluxe has electronic benefits transfer systems in place in
Maryland and several other states, and it was **bidding** jointly with
Electronic Data Systems Corp., the Texas-based data processing
powerhouse.

A second losing bid was entered...

18/3,K/3 (Item 1 from file: 268)
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00389707 61217832 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Second mortgage

Schack, Justin

Institutional Investor, v34, n9, p40-49, Sep 2000 DOCUMENT TYPE:

Periodical; Feature LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 4,247

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... with great clout: Charles Schwab & Co. The leading online brokerage
contributed \$10 million to the **round**, joining original venture firms
Benchmark and Technology Partners and newly participating e-finance startup
specialist...

...with eBay (Benchmark partner Robert Kagle is a director of both
companies) to finance the **online auction** site's used-car sales.

Web business strategists and investors place great stock in such...

18/3,K/4 (Item 2 from file: 268)
DIALOG(R)File 268:Banking Info Source
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00375333

Seven eBay Insiders Register for First Sale Of Stock Since April
Wall Street Journal, pC, 14:6, Nov 4, 1999 DOCUMENT TYPE: Newspaper
Article ARTICLE TYPE: News LANGUAGE: English RECORD TYPE: Abstract

ABSTRACT: Seven eBay Inc. executives registered to sell more than 1.7 million shares, the first **round** of insider selling at the **online auctioneer** since April, according to Securities and Exchange Commission filings.

18/3,K/5 (Item 3 from file: 268)
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00321007 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Emu on track

Bishop, Graham

Euromoney, v340, p37-39, Aug 1997 DOCUMENT TYPE: Journal Article
LANGUAGE: English RECORD TYPE: Abstract Fulltext
WORD COUNT: 02608

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... these systems because the dealer acts as principal rather than as agent at the continuous **electronic auction** of equities. However, the forthcoming wave of securitization in Europe's credit markets should increase...

...bond markets to such an extent that even bond dealers begin to wonder what is **round** the next technological corner.

In the years after Emu, the euro-denominated securities markets seem

...

18/3,K/6 (Item 4 from file: 268)
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00318533 (USE FORMAT 7 OR 9 FOR FULLTEXT)

IBM to sell trophy tower in Baltimore

Yavorsky, Sarah

Real Estate Finance & Investment, v3, n33, p1,10, Aug 25, 1997

DOCUMENT TYPE: Newsletter Article ARTICLE TYPE: News LANGUAGE: English
RECORD TYPE: Abstract Fulltext
WORD COUNT: 00349

...ABSTRACT: is selling a 650,000-square-foot trophy office building in downtown Baltimore, Maryland. The **computer** company has received initial **bids** from 5 to 10 real estate investment trusts and funds, and local brokers speculate that...

...the 3-to-5 best offers and have those prospective buyers engage in a final **round** of bidding.

TEXT:

...a 650,000-square-foot trophy office building in downtown Baltimore. The Armonk, N.Y, **computer** company has received initial **bids** from five to 10 real estate investment trusts and funds, and local brokers speculate that...

...the three to five best offers and have those prospective buyers engage in a final **round** of bidding, according to a source familiar with the

situation. The sale is scheduled to...

18/3,K/7 (Item 5 from file: 268)

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00294352 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Treasury's EBT help turns into a hindrance

Anonymous

Bank Network News, v15, n7, p1,7, Aug 28, 1996 DOCUMENT TYPE: Newsletter

Article LANGUAGE: English RECORD TYPE: Abstract Fulltext

WORD COUNT: 00944

ABSTRACT: Earlier in August 1996, Transactive Corp. won a **round** in the US Circuit Court of Appeals in its effort to prove that the Treasury...

...invitation for expression of interest bidding procedure is unfair because it excludes non-banks from **bidding** as prime contractors on **electronic** benefits transfer (EBT) contracts sponsored by the Treasury. Transactive executives wasted no time in making...

18/3,K/8 (Item 1 from file: 626)

DIALOG(R)File 626:Bond Buyer Full Text

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0209697

THE DIGEST:

The Bond Buyer - February 3, 1999; Pg. 1; Vol. 327, No. 30571

Word Count: 309

TEXT:

...Technology

FIVE MONTHS of direct competition has failed to produce a clear leader in the **contest** to become the premier provider of **electronic bidding** services. Page 30

Listings

New-Issue Calendar Page 8

Requests for Proposals Page 12

Notices...

18/3,K/9 (Item 2 from file: 626)

DIALOG(R)File 626:Bond Buyer Full Text

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0130644

New York Fed Official Says He Can't Promise All Dealers Will Stay After Rule Changes

MuniWeek - March 9, 1992; Pg. 37; Vol. 4, No. 10

Word Count: 497

BYLINE:

By Vicky Stamas

TEXT:

...in a vigorous but deliberate fashion," he said.

One key change involves the plan to **automate auctions** by the end of 1992. Also, the Treasury hopes to experiment with a single-price, open auction system with repeated **rounds** of bidding at descending yields.

Jerome Powell, assistant secretary for domestic finance at the Treasury...

18/3,K/10 (Item 3 from file: 626)
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0130470

New York Reserve Bank Official Can't Promise All Dealers Will Stay After Changes in Rules

The Bond Buyer - March 2, 1992; Pg. 1(1085); Vol. 299, No. 28849
Word Count: 845

BYLINE:
By Vicky Stamas

TEXT:
...circumstances and experience might dictate," Mr. Corrigan said.

One key change involves the plan to **automate auctions** by the end of 1992. Also, the Treasury hopes to experiment with a single-price, open auction system with repeated **rounds** of bidding at descending yields.

Jerome Powell, assistant secretary for domestic finance at the Treasury...

18/3,K/11 (Item 1 from file: 267)
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04583749

PayPal Looks For Friends On Wall Street With \$80.5M Offering

Colleen O'Connor
Private Equity Week
October 8, 2001 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 878 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:
...capital expenditures, as well as international expansion.
Most recently, PayPal banked \$90 million in fourth **round** financing in March. The multi-tranche deal follows a \$100 million Series C financing PayPal...

...Online Payments, a joint venture with Wells Fargo. The possibility certainly exists that the popular **online auction** site could restrict users to using its proprietary system. PayPal also has a growing number... backers took competition into account when structuring its financing. As part of its March financing **round**, PayPal also inked a business agreement last month with Providian Financial Corp., giving the San...

18/3,K/12 (Item 2 from file: 267)
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04583713

E-Commerce Player Files For \$80.5 Million

Colleen Marie O'Connor

IPO Reporter

October 8, 2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 888

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...for capital expenditures, as well as international expansion.

PayPal recently banked \$90 million in fourth- **round** financing in March. The multi-tranche deal follows a \$100 million Series C financing PayPal...

...eBay Online Payments, a joint venture with Wells Fargo. The possibility exists that the popular **online auction** site could restrict users to using its proprietary system. PayPal also has a growing number...backers took competition into account when structuring its financing. As part of its March financing **round**, PayPal also inked a business agreement last month with Providian Financial Corp., giving the San...

18/3,K/13 (Item 3 from file: 267)

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04579709

Axiomlab raises further GBP12m

Lisa Bushrod

European Venture Capital Journal

June 1, 2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 516

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...its initial seed funding, but is not yet sufficiently developed to qualify for larger funding **rounds**. In the prevailing business climate, it is anticipated that the valuation of companies in which...

...which increase as Axiomlab's reputation grows.

When it floated, Axiomlab has funds invested in: **Auctions2Business** Limited, a Manchester-based **on - line auctioneer** of pre-owned industrial plant, machinery and equipment, which could be developed into a platform...

18/3,K/14 (Item 4 from file: 267)

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04576827

Surfing for Investment Banking

Colleen O'Connor

Traders

March 1, 2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1014

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...found their own paths to the market.

New players are challenging Wall Street. They include **online IPO auctions**, Internet roadshows and trading without a broker.

Investment banks face the risk that their superhighwaysHambrecht is operated with sealed bids for stocks. Plans for a similar **online securities auction** deal with Freddie Mac are in the works, Opler noted.

Private Equity Outfit

EarlyBirdCapital is...

...which delivers high-quality video to the desktop, investors poured \$5.5 million into the **round**.

Amazingly, the Internet was giving access to everyday millionaires, Nussbaum said. Surgeons would finish up...

18/3,K/15 (Item 5 from file: 267)

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04575530

Investment Banking Flourishes on the Internet

Colleen O'Connor

Web Finance

February 5,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 969

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...entirely new approaches to the Internet. Nonexistent or irrelevant just a couple of years ago, **online IPO auctions**, broker-free trading and Internet road shows are spawning new players eager to challenge Wall...

...OpenIPO platform utilized by WR Hambrecht employs sealed bids for stocks. Plans for a similar **online securities auction** deal with Freddy Mac are in the works, he noted.

EarlyBirdCapital is another emerging ...which delivers high-quality video to the desktop, investors poured \$5.5 million into the **round**.

In part, the oversubscription was due to the Internet "giving access to everyday millionaires," said...

18/3,K/16 (Item 6 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04575114

Internet Presses Changes in Banking

Colleen O'Connor

IPO Reporter

January 29,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1114

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...paths to market.

Non-existent or irrelevant just a couple of years ago, mechanisms like **online IPO auctions**, broker free trading, and Internet roadshows are spawning new players eager to challenge the slow...utilized by WR Hambrecht is done with sealed bids for stocks. Plans for a similar **online securities auction** deal with Freddie Mac are in the works, he noted.

EarlyBirdCapital is another emerging player...

...which delivers high-quality video to the desktop, investors poured \$5.5 million into the **round**.

Institutional Snobbery

Amazingly, the Internet was giving access to everyday millionaires, said Nussbaum. Surgeons would...

18/3,K/17 (Item 7 from file: 267)

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04574789

BSCH Can't Push Patagon.com Public

H. W. Werner

Private Equity Week

January 15,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 516

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...58 million in venture funding, \$53 million of which came in December, 1999 in a **round** led by still-separate Chase Capital Partners and J.P. Morgan. Additional participants included Capital...

...the flow of dotcom closures which have become commonplace in the U.S. In Brazil, **online** insurance provider Webseg, **online auctioneer** Gibraltar and e-education firm Patavina have all officially tanked. Each company was backed by...

18/3,K/18 (Item 8 from file: 267)

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04574761

Patagon Not to Go Public This Year After All

Holly Werner

IPO Reporter

January 15,2001 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 513

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...had already received \$58 million in venture funding, \$53 million in December, 1999, in a **round** led by still-separate Chase Capital Partners and J.P. Morgan. Additional participants included Capital...

...to flow of dotcom closures which have become commonplace in the U.S. In Brazil, **online** insurance provider Webseg, **online auctioneer** Gibraltar and e-education firm Patavina have all officially tanked. Each company was backed by...

18/3,K/19 (Item 9 from file: 267)

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04573788

HypoVereinsbank to double PE exposure

Lisa Bushrod

European Venture Capital Journal
December 1, 2000 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 618 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...mainly focuses on investing in Internet and high tech companies.
At the first and second **round** funding stage is Global Life Science Fund and Kappa IT Ventures. Global Life Science Fund...

...One investment to exit Kappa IT Ventures to date is the IPO of QXL the **online auction** site. Kappa IT Ventures is run by Hans von Portatius.
The final stage of investment...

18/3,K/20 (Item 10 from file: 267)
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04568905

SportsYa! Hits \$11M Home Run
H. W. Werner
Private Equity Week
July 17, 2000 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 394 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

Latin American sports site SportsYA! Inc. recently raised \$11million in its third **round** of venture financing. IMG/Chase Sports Capital, Chase Capital Partners, Flatiron Partners, Ventech and Radio...

...of radio stations targeted to Spanish speakers in the U.S. - all contributed to the **round**, which brings the company's total financing up to \$32 million.
Proceeds from the transaction...

...said preferred to concentrate on growing SportsYA! through existing capital rather than through an additional **round** of funding, he said that he wanted to take advantage of current consolidation opportunities so...

...Mexico, Spain, Brazil and the U.S. In May, it expanded its services to include **online auctions** through an agreement with Miami-based MercadoLibre S.A.
...

18/3,K/21 (Item 11 from file: 267)
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04561603

Short Takes
Staff Reports
Web Finance
January 31, 2000 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 1674 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

Distressed Debt Gets its own **Online Auction Site**

While lots of sites are fighting it out for the fragmented bond trading world...

...portfolios on the site will be sold in one of three ways: at price, at **auction** or through private **online** negotiations.

"We believe that E-Debt.com is responding to a pressing need in the...

...preparation stalwart H&R Block is turning itself into an online financial megasite, offering year- **round** tax and financial services to visitors, including brokerage, banking, mortgage services and insurance.

Its upgraded...

18/3,K/22 (Item 12 from file: 267)

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04560821

Health Site Joins LatAm Web Frenzy

H.W. Werner

Private Equity Week

January 10,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 745 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...PCP Group. Popik said that at press time the firm was still structuring its first **round** of financing and a parallel angel fund, set to close toward the end of January...Investmentos, including \$12 million in BookNet, renamed Submarino in November, \$11 million in Freelance, an **online auction** services company, and \$14 million in WebMotors.

Chase Capital Partners has been one of the...

18/3,K/23 (Item 13 from file: 267)

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04557825

Stamps.com Stamps Out the Competition

Avital Louria Hahn

IPO Reporter

November 1,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 947 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...Draper, Fisher, Jurvetson, which in 1997 joined Intel and United Parcel Service in a seed **round** of \$3.4 million. "We were certainly on track in building out the company andthe company has an agreement with eBay, where it is the exclusive provider of **online** shipping services for the **auction** site's 5.6 million registered users.

AOL Agreement

Gaining market share seems to be...

18/3,K/24 (Item 14 from file: 267)

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04556509

DealTime.com Raises \$20M Second Round

Bradley Spirrison

Private Equity Week

September 27, 1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 266

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...is unlikely that it would ever be broadcast over Internet.

Comparative shopping services, which scour **online** retailers, **auctions** and classifieds to find optimum deals for consumers, have effectively exposed what lies behind door...

...invest in a national multimedia marketing campaign.

Nomura International Plc. led DealTime.com's second **round** of financing, which also saw first-time commitments from WaterView Partners - a private equity firm...

...Capital Fund and Axiom Venture Partners. Odeon Capital Partners, who led DealTime's Series A **round** in August, returned at a pro rata level.

"At the beginning, this organization had some...

18/3,K/25 (Item 15 from file: 267)

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04551489

W.R. Hambrecht Closes \$50M First Round

Bradley Spirrison

Private Equity Week

June 7, 1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 439

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...May 28, taking a minority stake in W.R. Hambrecht & Co.'s \$50 million first- **round** financing.

Epartners would not disclose the amount of its investment in the **round**, which saw four other strategic investors join the newly formed corporate fund, said Sharon Smith, a spokesperson at W.R. Hambrecht.

In a previous close on the **round** last month, Instinet Corp., the electronic brokering division of Reuters Group Plc., invested \$20 million ...

...R. Hambrecht. American Century Investments and networking company Novell Inc. have also invested in the **round**.

San Francisco-based W.R. Hambrecht is an early-stage investor in software, Internet and branded consumer products companies. In February, the company launched its OpenIPO service, an **electronic auction** method that allows companies to conduct initial public offerings online.

Earlier this year, Ravenswood was...

18/3,K/26 (Item 16 from file: 267)

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04550273

Adauction.com Grabs \$15M Second Round

George Moriarty
Private Equity Week
May 17, 1999 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 347 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

San Francisco-based Adauction.com closed its second **round** of venture capital in the past six months last Monday, garnering \$15 million from a...

...has now raised \$22 million since last December.

Lehman Brothers Venture Partners led the new **round**, and vice president Michael Castleman will serve on the board of directors following the offering...

...that also saw a significant representation from existing investors. The company's \$7 million first **round** was led by Convergence Partners and included additional commitments from Geneva Partners, b/z Partners...

...Rosen (PEW Jan. 4, p. 3).

Adauction intends to use the proceeds from the second **round** to expand its business model beyond Web advertising to include print, broadcast and outdoor advertising...

...publications and have also partnered with Nielsen NetRating to provide demographic information."

Adauction operates an **auction** site where **online** publishers list ad space that is available for the following month. The advertisers, or their ...

18/3,K/27 (Item 17 from file: 267)
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04550266

GoTo.com and Ask Jeeves Search Public Markets

Bradley Spirrison

Private Equity Week

May 17, 1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 667 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...Amazon.com's continued market dominance over Barnes&Noble.com and Ebay's leadership in **online auctioning**, Holmes adds that incumbent Internet properties have always had the advantage over younger challengers. In...

...seed financing from CPQ Holdings Inc., a subsidiary of Compaq Computer Corp. In its two **rounds** of venture financing, the company raised \$32.6 million from Highland Capital Partners Inc. and...from other engines by skewing its programming towards women. Upon receiving a \$60 million mezzanine **round** of financing in March, Chief Financial Officer Patricia Cole said that the company chose investors...

18/3,K/28 (Item 18 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04549155

Viventures Raises Multinational Fund

Erica Benjamin

Venture Capital Journal

May 1, 1999

DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 310

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...work with incubation- and early-stage companies, investing between \$1 million and \$6 million per **round**, but the firm will back later-stage projects with a strong high-tech orientation. Areas...

...in biotech or medical devices.

Viventures recently backed three international portfolio companies in their first **round** of venture financing: Adaction.com, a business-to-business e-commerce service that sells media ad space in Silicon Valley, and Quixell, an **online** personal **auction** company in the United Kingdom, received \$1million each, while Point Music, a French online music...

18/3,K/29

(Item 19 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04548445

OpenSite Opens Arms to \$24M

Bradley Spirrison

Private Equity Week

April 19, 1999

DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 278

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

OpenSite Technologies Inc., a provider of **online auction** software, recently received \$24 million in venture capital financing.

SG Capital Partners led the Series C **round** in the Triangle Park, N.C.-based company. Additional first-time investors include GE Equity...

TEXT:

OpenSite Technologies Inc., a provider of **online auction** software, recently received \$24 million in venture capital financing.

SG Capital Partners led the Series C **round** in the Triangle Park, N.C.-based company. Additional first-time investors include GE Equity...

...administration units. While he concedes that in-house teams can provide adequate technological support to **online auctioneers**, Frey insists that "it is just not feasible for an MIS staff to understand the...

18/3,K/30

(Item 20 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04546564

FairMarket Gets \$10M Price

Bradley Spirrison

Private Equity Week

March 15, 1999

DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 316

RECORD TYPE: FULLTEXT

TEXT:

...software solutions, raised \$10 million from Sierra Ventures of Menlo Park, Calif., in its first **round** of venture capital financing, which closed on March 3.

The Woburn, Mass.-based company was...

...at the discretion of the merchant or community.

Zaney said that FairMarket is the only **online auction** company that provides this turnkey service. The company will also provide the tools to assist...

18/3,K/31 (Item 21 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04544179

Adauction.com Nets \$7M Private Round

George Moriarty

Private Equity Week

January 4,1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 239 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

Adauction.com closed its first venture capital **round** in December, landing \$7 million from a group of venture firms led by Convergence Partners...

...marketing at Adauction. Investors received a combination of common and preferred equity.

Adauction operates an **auction** site where **online** publishers list ad space that is available for the following month. The advertisers, or their ...

18/3,K/32 (Item 22 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04543722

NEW YORK PROJECT FREE TO PROCEED: COURT OF APPEALS RULES TRANSACTIVE, CHECK CASHERS HAVE NO STANDING TO PROTEST CONTRACT

EFT REPORT

December 16, 1998 VOL: 21 ISSUE: 25 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: PHILLIPS BUSINESS INFORMATION

LANGUAGE: ENGLISH WORD COUNT: 718 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...Check

Cashers Association of New York, simply concluded "none of the petitioners has standing to **contest** the award of the contract ..." (Transactive Corp. v. New York State Department of Social Services...

...had no

standing because it never sought to win the EBT contract.

Transactive, however, did **bid** to provide **electronic** benefits transfer services to New York as the lead subcontractor to Boston-based Fleet Bank...

...by big teams," Fernandez says. "The court

said only the actual bid had standing to **contest** a contract award. The [appellate] court never had any concern about teams."

We've Only...

18/3,K/33 (Item 23 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04543636

Scott Navigates takeout Skies
Scott Stuart
Mergers & Acquisitions Reports
December 14,1998 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 243 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

Scott Technologies, Inc. has engaged Quarter-deck Investment Partners to **auction** its sub, Interstate **Electronics** (IE).
Books on the business, which had \$90 million in revenues last year, will go...

...he predicted. He declined to estimate how many books Quarterdeck would disseminate or when first **round** bids might be due.
Other players in the global positioning system (GPS) business of IE...

18/3,K/34 (Item 24 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04543025

Noro-Moseley Partners
Georg Szalai
Venture Capital Journal
December 1,1998 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 632 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...of a targeted 30 investments. Noro-Moseley invests about \$1 million to \$3 million per **round** and about \$5 million over several **rounds** of financing. The firm's previous effort, the \$49 million Noro-Moseley Partners III, was...

...C.) is the first company to offer customers a comprehensive solution for hosting their own **online**, interactive Internet **auction**.

Co-investors include The Wakefield Group, InterSouth Partners and Southeast Interactive.

Relativity Technologies (Research Triangle...

18/3,K/35 (Item 25 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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00004380

Frenzied Pace For M&A In First Quarter
Mergers & Acquisitions Report

April 14, 1997 VOL: 10 ISSUE: 15 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: INVESTMENT DEALERS DIGEST
LANGUAGE: ENGLISH WORD COUNT: 3225 RECORD TYPE: FULLTEXT

(c) INVESTMENT DEALERS DIGEST All Rts. Reserv.

TEXT:

...Corp. by AT&T Corp. in 1990 was larger - creates the world's second-largest **computer** networking company in a **bid** to strengthen the entities against the number-one company, Cisco Systems Inc., itself an active...of Seattle that surpassed Ahmanson's \$6.2 billion hostile buyout offer. Since the bidding **contest** began, shares of both potential acquirers have dropped, reducing the value of the deal for...

?

21/3,K/1 (Item 1 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00266640 (USE FORMAT 7 OR 9 FOR FULLTEXT)

House plan for FHA multifamily program criticized

Staples, Ed

Real Estate Finance Today, v12, n11, p3,20, May 29, 1995 DOCUMENT TYPE:

Journal Article ARTICLE TYPE: News LANGUAGE: English RECORD TYPE:

Abstract Fulltext

WORD COUNT: 01017

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... for problem properties to be sold before HUD takes control of the property, using a **computerized "bid optimization technique"** to select the **highest bidders**. They said this technique greatly **reduces** the cost of multifamily insurance claims.

This is one factor that allowed HUD to reduce...

?

24/3,K/1 (Item 1 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00448385 321336641 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Supply Chain Management: Links, Not Kinks, In the Chain ; E-sourcing is gaining steam as a way to cut expenses without laying off staff or putting off new projects
Adams, John
Bank Technology News, p24, Apr 2003 DOCUMENT TYPE: Periodical; News
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 937

(USE FORMAT 7 OR 9 FOR FULLTEXT)
... number of tools to manage the supply chain, such as Web-based negotiations with suppliers, **on - line auctions**, project management supporting the sourcing process, document management that aids in the exchange and recovery...
...knowledge management and analytics. If it works as promised, e-sourcing can determine the best **combination** of suppliers and **products** at the lowest total cost. Research from the Aberdeen Group, which estimates the current e...

24/3,K/2 (Item 1 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04596464
Brass Knuckles: SunGard scales back plans for OMS in Europe
Chris Kentouris
Security Industry News
January 13,2003 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: SECURITIES DATA PUBLISHING
LANGUAGE: ENGLISH WORD COUNT: 634 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...to trade on multiple markets across Europe.
SunGard also in September provided its clients with **automated** access to Nasdaq's Prime **Auction** System through the SunGard Transaction Network, which incorporates the secure private equities network formerly known... trading systems to offer a single cross-asset solution under the Front Arena brand. The **combined product** will unite Front Arena, Global Trader and Treasury Trader under a new operating unit to...

24/3,K/3 (Item 2 from file: 267)
DIALOG(R)File 267:Finance & Banking Newsletters
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04578991
UBID TAPS HOUSEHOLD FOR NEW PRIVATE LABEL CARD PROGRAM
Card News
May 16, 2001 VOL: 16 ISSUE: 9 DOCUMENT TYPE: NEWSLETTER
PUBLISHER: PHILLIPS BUSINESS INFORMATION
LANGUAGE: ENGLISH WORD COUNT: 741 RECORD TYPE: FULLTEXT

(c) PHILLIPS PUBLISHING INTERNATIONAL All Rts. Reserv.

TEXT:

...as cash. We think both benefits are appealing to the consumer."

Within the on - line auction marketplace, there are actually three different ways that people can buy or sell through uBid...

...they auction on their site. It's actually a company-to-person auction site. A lot of the goods sold on the site maintain

24/3,K/4 (Item 3 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04565383

ADVERTISING: Fund Trades Ad Space on Non-Financial Sites

Lee Barney

Mutual Fund Market News

April 24,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 684 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...advertising campaign of any kind.

Some marketing and advertising executives might think investments and consumer products make a strange combination, Dondis said. Quant is the only mutual fund company so far to be doing such...

...no-fee major credit card to its investors. X.com has paired up with an online auction house to provide payments services for purchases from the auction house.

Mutual fund companies will...

24/3,K/5 (Item 4 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04561586

Newbridge Invests In Two Companies

H. M. Werner

Private Equity Week

January 31,2000 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH WORD COUNT: 403 RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...DeRemate.com (Arremate.com in Brazil) is a consumer-to-consumer and business-to-consumer online auction house with a staff of 48 people in Argentina, Brazil, Mexico and Venezuela. The company...

...it believes it had a head start due to its partnership with Aucland, a European online auction house. Under terms of the agreement, DeRemate.com has received Aucland's current software and...

...upgrades. Included among the software's features is the capacity for reverse auctions, and loading bundled items.

The latter has been instrumental in helping the company target businesses that want to load...

27/3,K/1 (Item 1 from file: 267)
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04601454

AuctionDrop Raises \$3M For eBay Sales

Danielle Fugazy

Private Equity Week

June 23,2003 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 669

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...of it, scan it, figure out how much to ask for it and then stay **online** waiting for **bids** to come in? Apparently a lot of people don't, which is why Randy Adams...

...has garnered \$3.2 million in venture funding from Mobius Venture Capital, which led this **round**, and Draper Associates. The funding came as a \$700,000 seed **round** and then a \$2.5 million Series A, which was then combined. The infusion is...

...will boast 650 employees by the end of 2005.

Adams expects to go for another **round** of venture capital at the end of this year.

As for an exit, Roizen feels...

27/3,K/2 (Item 2 from file: 267)

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04600533

Regional Roundup - West

Editorial Staff

Private Equity Week

May 19,2003 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 831

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

...minimum investment of \$30,000 is required on the placement. The owner and operator of **on - line auction** Web site intends to use the proceeds from the offering for working capital. For more...

TEXT:

...Calif., has filed to raise \$5.4 million through a Series B convertible preferred stock **round** of financing. At the time of its SEC filing, the company had already raised \$5...

...minimum investment of \$30,000 is required on the placement. The owner and operator of **on - line auction** Web site intends to use the proceeds from the offering for working capital. For more...

...Oakland, Calif., raised \$5 million from three accredited investors through a Series A preferred stock **round** of financing. The provider of business application management solutions intends to use the proceeds from ...

...from one accredited investor through a private offering of convertible promissory note through a bridge **round** of financing. The developer of network communications products intends to use the proceeds from the...

...Calif., raised \$3.5 million from 10 accredited investors through a Series B preferred stock **round** of financing. The company, engaged in the business related to improvement of speed and validity...City, Calif., raised \$8 million from 13 accredited investors through a Series A preferred stock **round** of financing. The developer of position-location technology intends to use the proceeds from the...

...300,000 from two accredited investors through a private offering of debt through a bridge **round** of financing. The software developer intends to use the proceeds from the offering for working...

...Hayward, Calif., has filed to raise \$1.8 million through a Series C preferred stock **round** of financing. At the time of its SEC filing, the company had already raised \$157...

...San Francisco raised \$10 million from four accredited investors through a Series C preferred stock **round** of financing. The provider of on-line gaming destinations intends to use the proceeds from...

...of Bellevue, Wash., has filed to raise \$5 million through a Series E preferred stock **round** of financing. At the time of its SEC filing, the company had already raised \$2...

27/3,K/3 (Item 3 from file: 267)

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04598930

The Pill Comes Between Old Friends

Martin Sikora

Mergers & Acquisitions Journal

April 1,2003 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 419

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...potentially significant challenge against the anti-takeover weapon in the Delaware Court of Chancery.

The **contest** appeared to be on hold by the close of February when Creo Inc., a Vancouver...

...a special committee to weigh the competing offers, took actions that seemingly smiled on the **Electronics** for Imaging **bid**, including a breakup fee and a uniquely constructed pill. Generically, the pill would kick in...

27/3,K/4 (Item 4 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters
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04598596

Ariba buys Goodex

Lisa Bushrod

European Venture Capital Journal

March 1,2003 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 277

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...venture capital firms have realised their investment in Goodex, a Hamburg-based company that provides **online** sourcing technology, support and **auction** services for reverse auctions in the business-to-business sector. Nasdaq-listed Ariba of the...

...Mittelstand (Haspa BGM), the private equity subsidiary of the Hamburger Sparkasse.

They committed a first **round** of financing to establish the company in December 1999. Goodex was founded by a group...

...was also an early investor in the company, which received a total of four VC **rounds**.

In 2000 Goodex merged with Xmarket, a business-to-business exchange backed by Deutsche Telekom...

...EURO2.4 million per auction. It is the largest European service and technology provider for **online reverse auctions**, RFQ's and sourcing consultancy. The company operates from 13 locations in nine European countries...

27/3,K/5 (Item 5 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04588931

Satellite Broadcasters Are Intently Watching the Echo Star/Hughes Deal

Brent Shearer

European Venture Capital Journal

April 1,2002 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1169

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...marquee deal in satellite broadcasting at the moment is EchoStar Communications Corp.'s \$25 billion **bid** to buy Hughes **Electronics** Corp. But while this blockbuster deal makes headlines, smaller deals are being considered as the...for Hughes was not successful the first time around, it might prevail if a second **round** of bidding for Hughes were to open up. "Murdoch lost out because he wasn't...

27/3,K/6 (Item 6 from file: 267)

DIALOG(R)File 267:Finance & Banking Newsletters

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04588861

Primex Presents NYSE Challenge The Kinks Aside, Nasdaq Has New Weapon

Brian O'Connell and John A. Byrne

Traders

March 1,2002 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 1280

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...based trading. Still, others see similarities and think the Primex arrangement could launch a new **round** in Nasdaq's decades-long battle with the Big Board. "In terms of market structure, the combination of an **electronic auction** with a competitive dealer market could provide Nasdaq with a competitive advantage," Weisberger said. "It...

27/3,K/7 (Item 7 from file: 267)
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04586194

Safewww Secures \$5.3M Series B To Fight Against Wily Cyber-Thieves

Robyn Kurdek

Private Equity Week

January 7, 2002 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 327

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

TEXT:

...seeking to allay these fears.

The company recently closed a \$5.3 million Series B **round** of equity financing, with investments by Cablevision Systems Corp., Archery Venture Partners, Cohen & Co. LLC...

...of our IDshield authentication products" that target online markets, including banking, b-to-b exchanges, **digital** music and video, **auctions**, store cards, and gaming, explained Kenneth Bob, president and chief executive of Safewww.

"There's...

27/3,K/8 (Item 8 from file: 267)
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04548196

OpenSite Opens Arms to \$24M

Bradley Spirrison

Private Equity Week

April 19, 1999 DOCUMENT TYPE: NEWSLETTER

PUBLISHER: SECURITIES DATA PUBLISHING

LANGUAGE: ENGLISH

WORD COUNT: 278

RECORD TYPE: FULLTEXT

(c) SECURITIES DATA PUBLISHING All Rts. Reserv.

OpenSite Technologies Inc., a provider of **online auction** software, recently received \$24 million in venture capital financing.

SG Capital Partners led the Series C **round** in the Triangle Park, N.C.-based company. Additional first-time investors include GE Equity...

TEXT:

OpenSite Technologies Inc., a provider of **online auction** software, recently received \$24 million in venture capital financing.

SG Capital Partners led the Series C **round** in the Triangle Park, N.C.-based company. Additional first-time investors include GE Equity...

...administration units. While he concedes that in-house teams can provide adequate technological support to **online auctioneers**, Frey insists that "it is just not feasible for an MIS staff to understand the..."